

# FEES TRANSMITTAL

Date: Effective November 10, 1998.  
Patent fees are subject to annual revision.

Total Amount of Payment (\$ 130.00)

## METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

50-0589

Deposit Account Number:

Univation Technologies, LLC

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
101	Utility filing fee		
106	Design filing fee		
107	Plant filing fee		
108	Reissue filing fee		
114	Provisional filing fee		

SUBTOTAL (1)  

2. CLAIMS	Extra	Fee from below	Fee Paid
Total Claims			

Independent Claims			
Multiple Dependent Claims			

### Large Entity Fee Code Fee\$ Fee Description

103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim
109	84	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)  .00

Complete if Known	
Application Number	09/965,568
Filing Date	09/27/2001
Inventors	Dekmejian, et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2000U027CIP.US

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105	130	Surcharge – late filing fee or oath	130
127	50	Surcharge – late provisional filing or cover sheet	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive – unavoidable	
141	1,280	Petition to revive – unintentional	
142	1,280	Utility issue fee (or reissue)	
143	460	Design issue fee	
144	620	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of information Disclosure Stmt.	
581	40	Recording each patent assignment per property (times number of properties)	
148	110	Statutory disclaimer	
Other fee (specify)			
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			130.00

Typed or Printed Name Lisa Kimes-Jones

Reg. No. 41,878

Signature Lisa Kimes-Jones

Date December 20, 2001

Deposit Account User ID



✓03004

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

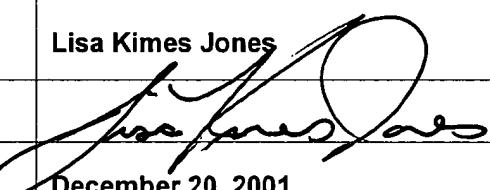
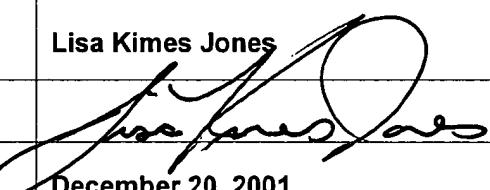
		Application Number	09/965,568
		Filing Date	September 27, 2001
		First Named Inventor	Dekmezian et al.
		Group Art Unit	Not Assigned
		Examiner Name	Not Assigned
Total Number of Pages in This Submission		Attorney Docket Number	2000U027CIP.US

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Preliminary Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority <input type="checkbox"/> Documents) <input checked="" type="checkbox"/> Response to Missing Part/ <input type="checkbox"/> Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts	<input type="checkbox"/> Assignment Papers <input type="checkbox"/> (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) <input type="checkbox"/> and Accompanying Petition <input type="checkbox"/> To Convert a Provisional <input type="checkbox"/> Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence <input type="checkbox"/> Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance <input type="checkbox"/> Communication to Group <input type="checkbox"/> Appeal Communication to <input type="checkbox"/> Board of Appeals and <input type="checkbox"/> Interferences <input type="checkbox"/> Appeal Communication to <input type="checkbox"/> Group <input type="checkbox"/> (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) <input type="checkbox"/> (please identify below): <u>Declaration, Formalities Letter and</u> <u>Acknowledgment Postcard</u>
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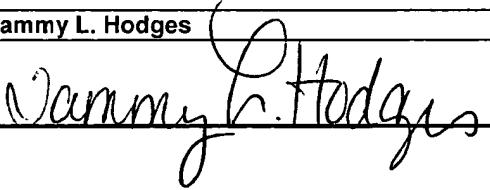
## REMARKS

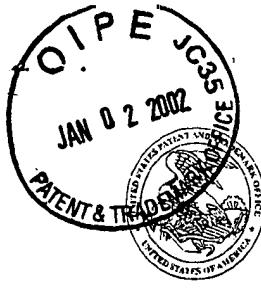
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Lisa Kimes Jones 	Registration No.	41,878
Signature			
Date	December 20, 2001		

## CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202 on this date: December 20, 2001

Typed or printed name	Tammy L. Hodges		
Signature		Date	December 20, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,568	09/27/2001	Armenag H. Dekmezian	2000U027CIP.US

CONFIRMATION NO. 4321  
FORMALITIES LETTER



\*OC00000007012656\*

Univation Technologies, LLC  
Suite 1950  
5555 San Felipe  
Houston, TX 77056

Date Mailed: 11/02/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/09/2002 NLUANG 00000086 500589 09965568

01 FC:105 130.00 CH